5.11 Shrimp Toolkit

Introduction

Commercial marine shrimp farming began in the 1970s, to supply the shrimp market opportunities of the US, Japan, and Western Europe. Shrimp farming started as small-scale businesses in Southeast Asia, and is now a large-scale global industry. Approximately 80 per cent of farmed shrimp* come from two species – *Litopenaeus vannamei* (sometimes called *Penaeus vannamei*, or Pacific white shrimp) and, to a much smaller extent, *Penaeus monodon* (giant tiger prawn). In the tropics it takes three to six months to grow market-sized shrimp, and many farms grow two crops in a year (see figure for shrimp farming cycle). Shrimp are grown in earth ponds, sometimes with plastic or concrete liners, or in concrete ponds. They are grown in brackish or fresh water.

The most notable welfare concern in shrimp is eyestalk ablation, removal of one of the female shrimp's eyestalks, often before fertilisation. This reduces production of a hormone linked to reproduction. Although some shrimp species are able to mature in captivity without ablation, ablation has become established as a common practice in shrimp hatcheries. Eyestalks are removed by pinching the eyestalk between the thumb and index finger, by cauterising (using a heated pair of forceps at the base of the eyestalk), or by ligation (tying a thread or wire around the eyestalk and tightening the thread to disrupt the blood supply). There are management practices which have been used to avoid eyestalk ablation (see Benchmark, Resource 10).

*Other farmed species: Banana prawn (Penaeus merguiensis); Kuruma prawn (Penaeus japonicus); Blue shrimp (Penaeus stylirostris); Fleshy prawn (Penaeus chinensis); Indian white prawn (Penaeus indicus); Southern brown shrimp (Penaeus subtilis); Northern brown shrimp (Penaeus aztecus); Northern pink shrimp (Penaeus duorarum); Southern white shrimp (Penaeus schmitti), Redspotted shrimp (Penaeus brasiliensis); Green tiger prawn (Penaeus semisulcatus); Northern white shrimp (Penaeus setiferus); Western white shrimp (Penaeus occidentalis); and Southern pink shrimp (Penaeus notialis).



Shrimp V1	
PI Action	chievement
KPI1: People, training - Links to P11 🔘	
KPI2: Genetics - Links to P2 O	
KPI3: Biosecurity - Links to P5 \bigcirc	
KPI4: Removal of mortalities - Links to P5, P10 O	KPI 15 High KPI 2
KPI5: Cleaning and disinfection - Links to P5, P10 🔘	
KPI6: Control of other species - Links to P5, P7 \bigcirc	KPI 14 KPI 3
KPI7: Tanks - Links to P5 O	Nedium-Low
KPI8: Enclosures, ponds, lagoons $$ - Links to P5 $$ $$ $$	
KPI9: Escapes - Links to P5, P10 🔘	KPI 13 Basic KPI 4
KPI10: Inspection - Links to P10 O	
KPI11: Handling - Links to P11 O	
KPI12: Feed - Links to P3 O	・・・・ KPI 12
KPI13: Water quality - Links to P4 O	
KPI14: Health, and health planning - Links to P5 $$ O	
KPI15: Medicines - Links to P5 \bigcirc	KPI 11
KPI16: Emergency - Links to P9, P10 🔘	
Basic level not achieved Basic (B) Medium-Low (ML) Medium-High (MH) High (H)	KPI 10 KPI 7 KPI 7
Overall KPI achievement	







People, training -	Links to P11	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	All people responsible for the care of shrimp have received appropriate training by others with experience in recognition of disease, or health and welfare problems.	0		0	11051000	
Medium-Low (ML)	Routine procedures are carried out by competent and trained people.	0		0		
Medium-High (MH)	A person or co-ordinator responsible for animal welfare aspects within the farm or company is identified.	0		0		
High (H)	People in the company are supported to have higher-level training or to achieve professional qualifications in animal welfare and aquaculture.	0		0		

KPI S2						
Genetics - Links to	o P2	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	Shrimp species used are compliant with local and national legislation.	0				
	Use of non-indigenous shrimp species complies with introduction procedures of the regional, national and international importation guidelines.	0		0		
	The facility complies with all government regulations regarding importation of native and non- native stock of any age.	0		0		
	Wild juveniles are not deliberately stocked.	0				
Medium-Low (ML)	Shrimp genetic modification techniques are not used.	0		0		
Medium-High (MH)	Culture of transgenic shrimp (including the offspring of genetically-engineered shrimp) is not permitted.	0		0		
High (H)	As previous.	0		0		

Biosecurity - Lin	ks to P5	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	A biosecurity programme or plan (Resource 5) is in place.	0		0		
Medium-Low (ML)	High standards of biosecurity are maintained to avoid the spread of diseases between populations of shrimp.	0				
	People and vehicles go through disinfection and cleaning before, and after entering the site.	0		0		
	Feed and probiotics are stored to prevent contact with outside vectors of disease, such as birds and rodents.	0				
	A pond liner is used.	0				
Medium-High (MH)	The farm is protected (as far as is realistic) with fences to prevent entry of wild animals, including crabs, which may carry pathogens.	0		0		
High (H)	The biosecurity programme includes a risk assessment (which may be based on hazard analysis and critical control point (HACCP) training) of the primary pathogens and parasites likely to pose a risk to the species farmed.	•		0		



Removal of morta	lities - Links to P5, P10	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	Frequent removal of dead or moribund shrimp from the edges of the pond, or if present, from the mortality removal system.	0		0		
Medium-Low (ML)	Records are kept showing that mortalities are removed consistently.	0		0		
	Removal of dead/moribund shrimp from the surface or the mortality removal system occurs:	0				
Medium-High (MH)	a) At least twice a week, unless adverse weather conditions mean this would involve danger to personnel.	0		0		
	b) At least daily for land-based systems.	0				
High (H)	The cause of death of shrimp is recorded.	0				
	Veterinary (or appropriate local non-veterinary expertise) advice is sought if the cause of death is not clear.	0		0		

KPI S5

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Cleaning and disi	nfection - Links to P5, P10	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	Vermin are controlled through appropriate and effective measures.	0		0		
	Only approved pest control substances and chemicals permitted by law are used.	0		0		
	Written cleaning and disinfection protocols are implemented.	0				
Medium-Low (ML)	Equipment can be thoroughly cleaned and disinfected.	0		0		
	Net cleaning does not unnecessarily compromise the welfare of the shrimp.	0				
	A list of permitted disinfectants and detergents used on the shrimp farm, and their safety data	0				
Medium-High (MH)	sheets, is available.	0		0		
Mcchuni-Ingli (MII)	The areas around the tanks, ponds and buildings are kept clear of debris and non-essential	0		\sim		
	equipment.	0				
High (H)	The most humane effective baiting method is adopted, and pest control baits are only accessible	0		0		
Ingii (II)	to the targeted species.	\sim		\sim		

Control of other s	pecies - Links to P5, P7	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	If lethal predator control is used, it is compliant with local and national legislation.	0				
	No lethal methods are applied to predator species listed as endangered or critically endangered on the IUCN Red List or those species that are protected by local or national laws.	0		0		
	A basic monitoring programme is in place for documenting the frequency of visits, variety of species and number of animals interacting with the farm.	0				
Medium-Low (ML)	Humane precautions are taken to protect shrimp from other animals that could cause them harm, including bringing in disease.	0		0		
	Staff are trained in humane control methods.	0				
	The farm uses non-lethal methods of control to protect shrimp from other animals.	0				
	i ne tarm nas a predator control pian in place.	0				
Modium High (MH)	Any lethal methods used are only used as a last resort, when all non-lethal methods have failed.	0		0		
Mealum-High (MH)	The site maintains a list of species occurring within the vicinity of the site that are classified as endangered or threatened under regional laws and/or are on the IUCN Red List.	0		0		
	The site records the species and numbers of all avian, mammalian and reptilian mortalities resulting from predator control actions.	0				
High (H)	As previous.	0		0		



KPI S7							
Tanks - Links to	P5	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment	
Basic (B)	The tanks on the farm are compliant with local and national requirements on land and water use.	0		0			
Medium-Low (ML)	Inlets and outlets are designed to prevent both shrimp escape and ingress of wild stock. Tanks have lids or are covered with appropriate netting to prevent shrimp escaping.	0		0			
Medium-High (MH)	If nets are used, they are a suitable size for the shrimp in the tank to prevent escapes and to prevent shrimp from becoming entangled.	0		0			
High (H)	Tanks measuring over 5m in diameter have oxygen and/or water level alarms fitted.	0		0			

Enclosures, ponds	s, lagoons - Links to P5	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	Enclosures or ponds on the farm are compliant with local and national authorities on land and water use.	0		0		
	The location of enclosures allows an adequate flow of clean water.	0		~		
Medium-Low (ML)	Enclosures are designed and sited so they are not likely to be damaged by adverse weather conditions.	0		0		
Medium-High (MH)	If used, enclosure nets are regularly checked for holes and fouling, and are well maintained.	0		0		
High (H)	Biofouling is not allowed to build up on enclosure nets.	0		0		

KPI S9

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Escapes - Links to	P5, P10	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	Effective screens, nets or barriers of appropriate mesh size are used for the smallest animals present, and are double-screened when non-indigenous species are present.	0		0		
Medium-Low (ML)	Perimeter pond banks or dykes are of adequate height and construction to prevent breaching if exceptional flooding occurs.	0		0		
Medium-High (MH)	Trapping devices to sample for escapes are in place, and the results recorded (mesh traps on pumped water systems).	0		0		
High (H)	As previous requirement.	0		0		

Inspection - Links	s to P10	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	The farmer inspects the shrimp at a frequency which is at least the legal base requirement (in large pond systems, or with poor water clarity, observation of the shrimp is recognised to be difficult).	0		0		
Medium-Low (ML)	Shrimp are inspected at regular intervals, at least twice daily, weather permitting (in large pond systems, or with poor water clarity, observation of the shrimp is recognised to be difficult).	0		0		
Medium-High (MH)	Shrimp are observed at least once a day during feeding (in large pond systems, or with poor water clarity, observation of the shrimp is recognised to be difficult).	0		0		
High (H)	As previous requirement.	0		0		



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Handling - Links	to P11	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	Handling of shrimp prior to transport is kept to a minimum, and done in ways which minimise distress to the shrimp.	0		0		
Medium-Low (ML)	Changes in water temperature and pH during handling, which could compromise shrimp welfare, are avoided.	0		0		
	When hand nets are used they are:	0				
Mcchuni-Low (ML)	a) of a suitable size;	0		\sim		
	b) designed to avoid physical damage; and	0				
	c) kept clean, in good repair and disinfected before use.	0				
Modium High (MH)	Handling of shrimp prior to transport does not result in shrimp being out of water for more	0		0		
Mediulli-rigii (Mr)	than 15 seconds.	\sim				
High (H)	As previous.	0		0		

KPI S12

Feed - Links to P3		Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	Feeding is of a quality, quantity and feeding frequency suitable for the shrimp stage of development.	0				
	Feeds and feeders meet manufacturers' recommendations, good aquaculture husbandry practices, and local regulatory requirements, and must provide adequate access for all shrimp.	0		0		
Medium-Low (ML)	Shrimp probiotics are only used at the appropriate growth and nutrition stage to prevent overuse.	0		0		
	All feeding systems are checked for proper operation daily. In the event of a supply failure, the farms can provide feed within 24 hours.	8				
Medium-High (MH)	Food is fed in such a way that shrimp can eat without undue competition (in large pond systems observation of the shrimp is recognised to be difficult).	0		0		
High (H)	A documented chain of custody and traceability for fisheries products in feed is kept.	0		0		

Water quality - Li	nks to P4	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
	The site is compliant with regulations or permit requirements concerning water quality and water quality impacts.	0				
Basic (B)	If pesticides or shrimp treatments are used, their use is compliant with local and national requirements.	0		0		
	The site is compliant with local and national requirements for discharges, including hazardous chemicals, sludge or aquaculture waste.	0				
Medium-Low (ML)	The farmer recognises visual indicators of poor water quality as well as behavioural indicators of poor water quality (in large pond systems, or with poor water clarity, observation of the shrimp is recognised to be difficult).	0		0		
Modium High (MH)	Water quality is monitored sufficiently frequently (and if necessary, daily) for the time of year, the system, and the lifecycle stage of shrimp.	0		0		
Medium-riigii (Mri)	If water quality departs from the local accepted range (Resource 6) investigation and rectification takes place.	0		0		
High (H)	Equipment used to test water quality is calibrated, for example by using a dissolved oxygen (DO) meter, pH meter, refractometer and/or chemical test kits.	0		0		



Health, and healt	h planning - Links to P5	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	A procedure is in place to deal with an outbreak of important transmissible disease, including geographically appropriate OIE-listed diseases.	0		0		
Medium-Low (ML)	Infectious, parasitic and metabolic diseases, injury, and conditions causing distress, are prevented and controlled through good management, good animal care, biosecurity, and genetic selection.	0		0		
	The aquaculture system does not depend on prolonged or routine use of pharmaceuticals. A H&W plan is in place (Resource 4).	0				
Medium-High (MH)	Broodstock have appropriate disease-free status and meet regional, national and international importation guidelines.	0		0		
High (H)	The H&W plan is reviewed and updated annually and is authorised by a specialist aquaculture veterinarian (or if local veterinary expertise is not available, appropriate local non-veterinary expertise).	0		0		

KPI S15

Medicines - Links	to P5	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
	Any drug or other agent used to treat shrimp is compliant with all local guidelines and applicable local legislation.	0				
Basic (B)	Medication is only administered strictly in accordance with the prescription instructions.	0		0		
	Hormones and antibiotics are not used as growth promoters.	0				
	Preventive (prophylactic) use of antimicrobials is not permitted.	0				
	Medicines are stored securely and in the recommended conditions (label instructions).	0				
	Medicine use is recorded (Resource 3).	0		0		
Madium Law (ML)	The company has access to a veterinarian experienced in aquaculture (or, if local veterinary expertise is not available, appropriate local non-veterinary expertise).	0				
Medium-Low (ML)	Any antimicrobial classified as being of 'high' or 'medium' importance for human medicine defined as Highest Priority Critically Important Antimicrobials (HPCIA) is not permitted for use in aquaculture unless under veterinary advice (or if local veterinary expertise is not available, appropriate local non-veterinary expertise).	0		0		
Medium-High (MH)	Persons using medicines have relevant experience and training.	8		0		
High (H)	An antimicrobial stewardship plan is in place, and is complied with (see OIE 2016, Resource 9). It is reviewed annually, and is linked to existing regional or national antimicrobial stewardship schemes.	•		•		

<u>—</u> КРІ S16

Emergency - Link	s to P9, P10	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	Written plans are in place to deal with emergencies such as power failure, flooding, freezing, failure of feed supply, or chemical or effluent spillage.	0		0		
Medium-Low (ML)	Contacts and emergency phone numbers, and contact numbers in cases where the emergency can affect animal and human health, are available at each site.	0		0		
Medium-High (MH)	If generators are used for back-up power (generators may not be present on many farms), they are tested under conditions of load at least 4 times a year.	0		0		
High (H)	Plans have been developed in consultation with a specialist veterinarian (or if local veterinary expertise is not available, appropriate local non-veterinary expertise).	0		0		
	Plans are updated annually to cover circumstances such as potentially zoonotic or notifiable disease.	0		Ŭ		



KWI S1							
Animal records -	Links to P10	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment	
Basic (B)	Records are kept of details of the origin of shrimp stock, and the estimated numbers of shrimp in each tank or enclosure.	0		0			
Medium-Low (ML)	Records are kept of the estimated current stocking densities of shrimp in each tank or enclosure.	0		0			
Medium-High (MH)	Records are kept of staff observation and checking times (in large pond systems, or with poor water clarity, observation of the shrimp is recognised to be difficult).	0		0			
High (H)	As previous requirement.	0		0			

KWI S2

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Shrimp health as	sessment - Links to P4, P5	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	The farmer is aware of basic indicators of shrimp health, such as the shrimp are swimming actively, and their morphology is normal (in large pond systems, or with poor water clarity, observation of the shrimp is recognised to be difficult).	0		0		
Medium-Low (ML)	Shrimp samples are taken regularly (using netted samples) allowing adjustment of the feed regime to prevent overfeeding and underfeeding.	0		0		
Medium-High (MH)	Shrimp health is assessed once a week (using netted samples) after stocking. Shrimp health is assessed for the following: o Their guts are full They have no adhering organisms There is no cloudiness in the muscle The muscle to gut width ratio is 3:1 The hepatopancreases are large and dark The gill is white or greyish There is no melanisation (black to brownish spots) There is no moulting residue on the shrimp heads o. There are no cuts or twists on the body			•		
High (H)	As previous requirement.	ŏ		0		

KWI S₃

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Mutilations - Lin	ks to P5, P6, P7	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	Any mutilations involving the removal or alteration of sensitive tissue of shrimp are compliant with all local guidelines and applicable local legislation.	0		0		
Medium-Low (ML)	As previous requirement.	0		0		
Medium-High (MH)	To avoid eyestalk ablation, the company is trialling methods of avoiding eyestalk ablation by adjusting feed, male-female ratios, and the number of broodstock females (see example, Benchmark, Resource 9).	0		0		
High (H)	Mutilations involving the removal of sensitive tissue are not carried out – including eyestalk ablation.	0		0		



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On-farm mortalit	y - Links to P5, P10	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	Basic mortality data (from overall survival) is recorded (In large pond systems, or with poor water clarity, observation of the shrimp is recognised to be difficult).	0		0		
Medium-Low (ML)	As previous requirement.	0		0		
	Mortality data is analysed (from survival data), and the following shrimp annual average farm survival rate (SR): thresholds are used:	0				
Medium-High (MH)	1) Unfed and non-permanently aerated pond systems SR >25%	0				
Medium-Ingii (MII)	2) Fed but non-permanently aerated pond systems SR $>45\%$	0		\sim		
	3) Fed and permanently aerated pond systems SR >60%	0				
	The cause of adverse trends in survival are investigated and acted on.	0			1	
	A procedure is in place to investigate unexplained mortality.	0		_		
High (H)	If morbidity and mortality levels increase, and other signs indicate the shrimp have been	0		0		
	affected by disease, a diagnostic investigation is conducted to identify the causative agent.					

KWI S5						
Slaughter - Links	to P5, P11	Observed?	Comment on observation	Achieved level?	Progress	Evidence/comment
Basic (B)	Shrimp are killed adopting local legally-approved methods.	0		0		
Medium-Low (ML)	A recognised method to induce insensibility is adopted (see Resource 2).	0		0		
Medium-High (MH)	As previous requirement.	0		0		
High (H)	Internationally recognised best practice methods for killing are adopted, including	0		0		
111gii (11)	consideration for electrical stunning.			\sim		



Notes: Shrimp

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